



SECURITIES – ISSUER AGENTS

"Agent" is defined as an individual other than a broker/dealer who represents a broker/dealer or issuer in effecting, or attempting to effect, purchases or sales of securities.

OES - SOC Code: 41-3031

Fees:

Application Fee	\$50.00
Renewal Fee	\$50.00
Renewal Date	December 31 each year
Number Licensed in Utah	214

Qualifications for license: [Utah Code Section 61-1-3](#)

For more information: [Utah Uniform Securities Act](#)

Please note that licensing requirements change periodically. Therefore, please refer to the official application for license to obtain the most current license requirements for each occupation. [Applications](#) can be obtained by calling (801) 530-6600.

Regulatory Agency:

Utah Division of Securities
160 East 300 South, 2nd Floor
PO Box 146760
Salt Lake City, Utah 84114-6760
Phone No. (801) 530-6600
Fax No. (801) 530-6980
<http://www.securities.state.ut.us>

Professional Associations & Organizations:

North American Securities Administrators
Association, Inc. (NASAA)
10 G Street, NE Ste 710
Washington, DC 20002-4251
Phone No. (202) 737-0900
Fax No. (202) 783-3571
<http://www.nasaa.org/>



Department of Workforce Services

140 East 300 South Salt Lake City, Utah 84111 1-888-920-WORK jobs.utah.gov

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Auxiliary aids and services are available upon request to individuals with disabilities. Call (801) 526-9240. Individuals with speech and/or hearing impairments may call the state relay by dialing 711. Spanish Relay Utah: 1-888-346-3162.